UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

J. C. Patents, Inc. 4 Venture, Suite 250 Irvine, CA 92618 06/24/2011

EXAMINER
PATEL, PRITESH ASHOK

PAPER NUMBER

ART UNIT

DATE MAILED: 06/24/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/541,960	07/08/2005	Akitoshi Fukai	JCLA16962	6722

TITLE OF INVENTION: SEALING VALVE FOR MEDICAL APPARATUS, CONNECTION PORT, MIXTURE INJECTION TUBE, CONNECTION TOOL FOR INFLICION CIPCUIT. AND SYSTEM FOR INFLICION CIPCUIT.

TOOL FOR INFUSION CIRCUIT, AND SYSTEM FOR INFUSION CIRCUIT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	09/26/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

maintenance fee notific	ations.	therwise in Block 1, by ( Block 1 for any change of address)		*			arate "FEE ADDRESS" for
CURRENT CORRESPOND		24/2011	Ţ	Fee(s) Transmittal. To papers. Each addition have its own certifica	ıal paper.	such as an assignme	or domestic mailings of the for any other accompanying ent or formal drawing, must
J. C. Patents, Inc. 4 Venture, Suite 250 Irvine, CA 92618			I 5 2 t	hereby certify that to states Postal Service ddressed to the Maransmitted to the US	ertificate this Fee(s with suff all Stop PTO (57)	of Mailing or Trans  Transmittal is being  Cicient postage for fir-  SSUE FEE address  273-2885, on the deserted	smission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATI	Е	FIRST NAMED INVENT	OR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/541,960	07/08/2005	•	Akitoshi Fukai		_	JCLA16962	6722
		FOR MEDICAL APPAI EM FOR INFUSION CIR		N PORT, MIXTUR	E INJEC	TION TUBE, CONS	NECTION
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nonprovisional	YES	\$755	\$300	\$0		\$1055	09/26/2011
EXAN	MINER	ART UNIT	CLASS-SUBCLASS				
PATEL, PRI	TESH ASHOK	3763	604-246000	<u> </u>			
CFR 1.363).		on of "Fee Address" (37	(1) the names of up or agents OR, altern	•	ent attorn	•	
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME A	AND RESIDENCE DAT	TA TO BE PRINTED ON	THE PATENT (print or	type)			
PLEASE NOTE: Ur recordation as set for (A) NAME OF ASS	th in 37 CFR 3.11. Con	ntified below, no assignee inpletion of this form is NC	data will appear on th OT a substitute for filing (B) RESIDENCE: (C	an assignment.			ocument has been filed for
			(b) RESIDENCE. (c.	T und STITE OR	coem	K1)	
Please check the approp	riate assignee category o	or categories (will not be p	orinted on the patent):	☐ Individual ☐ 0	Corporati	on or other private gro	oup entity 🖵 Government
4a. The following fee(s)	are submitted:	4	b. Payment of Fee(s): (I	Please first reapply	any prev	iously paid issue fee	shown above)
Issue Fee			A check is enclosed.				
	No small entity discount # of Copies		☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
Advance Order -	# of Copies		overpayment, to D	eposit Account Num	ber		in extra copy of this form).
5. Change in Entity Sta	atus (from status indicat ns SMALL ENTITY sta	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no	langar alaimina CM	ALL DAM	PITY status Co. 27 C	ED 1 27(~)(2)
							he assignee or other party in
interest as shown by the	records of the United St	tates Patent and Trademark	k Office.				
Authorized Signature	e			Date			
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an application. Confider submitting the complete this form and/or sugges	ntiality is governed by 3 ed application form to the tions for reducing this b	5 U.S.C. 122 and 37 CFR ne USPTO. Time will var urden, should be sent to th	1.14. This collection is y depending upon the ir ne Chief Information Of	estimated to take 12 dividual case. Any of ficer, U.S. Patent an	2 minutes comments d Traden	to complete, includir s on the amount of tin ark Office, U.S. Dep	d by the USPTO to process) ag gathering, preparing, and me you require to complete artment of Commerce, P.O.
Box 1450, Alexandria, Alexandria, Virginia 22	Virginia 22313-1450. D	O NOT SEND FEES OR	COMPLETED FORMS	TO THIS ADDRES	SS. SENI	TO: Commissioner	for Patents, P.O. Box 1450

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

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10/541,960	07/08/2005	Akitoshi Fukai	JCLA16962	6722	
7590 06/24/2011			EXAMINER		
J. C. Patents, Inc. 4 Venture, Suite 25			PATEL, PRITESH ASHOK		
Irvine, CA 92618	O		ART UNIT	PAPER NUMBER	
			3763		
DATE MAILED: 06/24/2011			1		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1006 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1006 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	10/541 000	FUIZALET AL	
Notice of Allowability	10/541,960 <b>Examiner</b>	FUKAI ET AL.  Art Unit	
•			
	PRITESH PATEL	3763	
The MAILING DATE of this communication app. All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85; NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comming IGHTS. This application is	in this application. If not included nunication will be mailed in due cours	se. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>09/01/2010</u> .			
2. X The allowed claim(s) is/are <u>1, 6-9, and 11-15</u> .			
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority u</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>		or (f).	
2. ☐ Certified copies of the priority documents have		ion No.	
3. \( \sum \) Copies of the certified copies of the priority do	• •		rom the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	MENT of this application.		
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv</li> </ol>			E OF
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	st be submitted.		
(a) Including changes required by the Notice of Draftsper	son's Patent Drawing Revie	w ( PTO-948) attached	
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment o	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			i) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT</li> </ol>			:he
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5 Notice of I	nformal Potent Application	
<ol> <li>Induce of References Cited (PTO-692)</li> <li>Induce of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		nformal Patent Application Summary (PTO-413),	
<ol> <li>Information Disclosure Statements (PTO/SB/08),</li> </ol>	Paper No	./Mail Date s Amendment/Comment	
Paper No./Mail Date			
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material		s Statement of Reasons for Allowand	;e
(D. D. /	9. 🗌 Other	<u></u> ·	
/P. P./ Examiner, Art Unit 3763			

#### **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement of reasons for allowance: The prior art of record fails to disclose a sealing valve made of an elastic material, said sealing valve being shaped semispherically, having a convex shape facing outward, a semispherical space within said valve, a valve hole on top of said valve, wherein said hole is opened by a tip of a male connection port without allowing a tip to enter through said valve, the valve being deformed to allow fluid access from a tip to within a valve. The prior art also does not disclose a sleeve portion of a cap on a medical apparatus having said tip, said sleeve portion arranged to press and hermetically seal a valve hole of the sealing valve for the medical apparatus.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

# Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to PRITESH PATEL whose telephone number is (571)270-7025. The examiner can normally be reached on Monday-Friday 7:30Am-5:00PM, every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nicholas Lucchesi can be reached on (571)272-4977. The fax phone

Application/Control Number: 10/541,960 Page 3

Art Unit: 3763

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/P. P./ Examiner, Art Unit 3763

/Nicholas D Lucchesi/ Supervisory Patent Examiner, Art Unit 3763